

Know Your Customer Information - Unregistered Foreign Company (company not registered with ASIC)

Adelaide Managed Funds

A subsidiary of Adelaide Bank

Leveraged Equities



COMPANY DETAILS

Full name of company:

Country in which company was registered, formed or incorporated:

Full address of registered office in country of origin (PO Box is not acceptable):

 Postcode:

Full address of principal place of business in country of origin (PO Box is not acceptable):

 Postcode:

Mailing Address:

 Postcode:

Company registered by a foreign registration body?

Yes No

If registered, the name of the foreign registration body:

Registered by foreign registration body as: **Please tick (✓) applicable**

Private Public Other

If registered, any identification number issued to the company:

Is the Company regulated (licensed by Australian Commonwealth, State or Territory statutory regulator):

No Yes - Please specify

Regulator name:

Licence details:

DIRECTORS OF COMPANY (for registered private company only)

Full name of Director 1:

Date of birth:

 / /

Residential address:

 Postcode:

Full name of Director 2:

Date of birth:

 / /

Residential address:

 Postcode:

Full name of Director 3:

Date of birth:

 / /

Residential address:

 Postcode:

If there are more than three Directors attach additional page(s).

All Directors listed above must provide KYC information as applicable to their customer type.

Refer to website www.adelaidebank.com.au or phone 1800 224 124 for more information.

SHAREHOLDERS HOLDING 25% OR MORE OF THE ISSUED CAPITAL OF THE PRIVATE COMPANY

(except regulated companies as indicated above)

Full name of Shareholder 1:

DOB:

 / /

Individual form completed?

Yes No

Residential address

(PO Box is not acceptable):

 Postcode:

Full name of Shareholder 2:

DOB:

 / /

Individual form completed?

Yes No

Residential address

(PO Box is not acceptable):

 Postcode:

Full name of Shareholder 3:

DOB:

 / /

Individual form completed?

Yes No

Residential address

(PO Box is not acceptable):

 Postcode:

If there are more than three Shareholders attach additional pages(s).

All Shareholders listed above must provide KYC information as applicable to their customer type.

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CUSTOMER 1

Name(s):

Corporate title: (Please indicate - director/secretary/trustee - if applicable)

Signature:

Date:

CUSTOMER 2

Name(s):

Corporate title: (Please indicate - director/secretary/trustee - if applicable)

Signature:

Date:

[OFFICE USE ONLY]**IDENTIFICATION DOCUMENTS**

Tick those that have been sighted and attach copies to this form

- A search of the relevant stock exchange (Foreign Company)
- Certificate of registration (Original or Certified copy ONLY)
- A search of records on the relevant foreign registration body
- For all directors, KYC requirements for the relevant customer type (e.g. individual, company) must be completed
- For all Shareholders, KYC requirements for the relevant customer type (e.g. individual, company) must be completed

Document details:

Document name:

Date of issue:

State/country of issue:

Document number:

Document expiry date:

If further documentation is provided, please list details over and attach copies