

Know Your Customer Information - Unregistered Foreign Company (company not registered with ASIC)

Adelaide Managed Funds

Leveraged Equities



COMPANY DETAILS

Full name of company:

Country in which company was registered, formed or incorporated:

Full address of registered office in country of origin (PO Box is not acceptable):

 Postcode:

Full address of principal place of business in country of origin (PO Box is not acceptable):

 Postcode:

Mailing address:

 Postcode:

Company registered by a foreign registration body?

Yes No

If registered, the name of the foreign registration body:

Registered by foreign registration body as: **Please tick (✓) applicable**

Private Public Other

If registered, any identification number issued to the company:

Is the Company regulated (licensed by Australian Commonwealth, State or Territory statutory regulator):

No Yes - Please specify

Regulator name:

Licence details:

DIRECTORS OF COMPANY (for registered private company only)

Full name of Director 1:

Full name of Director 2:

Full name of Director 3:

If there are more than three Directors attach additional page(s).

SHAREHOLDERS HOLDING MORE THAN 25% OF THE ISSUED CAPITAL OF THE PRIVATE COMPANY

(except regulated companies as indicated above)

Full name of Shareholder 1:

Residential address

(PO Box is not acceptable):

 Postcode:

Full name of Shareholder 2:

Residential address

(PO Box is not acceptable):

 Postcode:

Full name of Shareholder 3:

Residential address

(PO Box is not acceptable):

 Postcode:

CUSTOMER 1

Name(s):

Corporate title: (Please indicate - director/secretary/trustee - if applicable)

Signature:

Date:

CUSTOMER 2

Name(s):

Corporate title: (Please indicate - director/secretary/trustee - if applicable)

Signature:

Date:

[OFFICE USE ONLY]

IDENTIFICATION DOCUMENTS

Tick those that have been sighted and attach copies to this form

- A search of the relevant stock exchange (Foreign Company)
- Certificate of registration (Original or Certified copy ONLY)
- A search of records on the relevant foreign registration body

Document details:

Document name:

Date of issue:

State/Country of issue:

Document number:

Document expiry date:

If further documentation is provided, please list details over and attach copies